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**FACSIMILE TRANSMISSION**

FROM: Eugene Kim	TELEPHONE: 303.546.1389
	EMAIL: ekim@faegre.com
DATE: November 26, 2002	TIME: _____ m. (Boulder)
NUMBER OF PAGES (including this page): 15	F&B FILE: 273446 REC: 963
TO: Group 2666	TELEPHONE: 703-308-7911
Assistant Commissioner for Patents	FAX: 703-872-9314

**MESSAGE**

Application No. 09/218,817

File Date: 12/22/1998

Attorney Docket No. 1170

Pursuant to a telephone call with Examiner Tran, we are transmitting herewith copies of previously mailed submissions to USPTO :

Transmittal Form dated 11/26/2002

1. Transmittal Letter in Response to Notice to File Missing Parts of Application, Notice to File Missing parts of Application, Executed Declaration, Executed Assignment and postcard mailed 6/2/00 - 8 pages;
2. Transmittal Form, Information Disclosure Statement by Applicant, postcard mailed 9/19/02 - 5 pages

**IF YOU DO NOT RECEIVE ALL PAGES, PLEASE CALL OFFICE SERVICES AT 303.546.1300 OR LINDA LEONARD AT 303.546.1438.**

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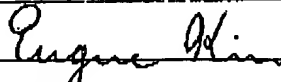
Please type a plus sign (+) inside this box → ☒PTO/SB/21 (08-00)  
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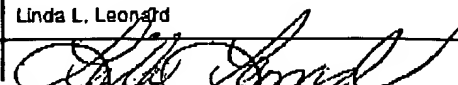
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/218,817	
	Filing Date	12/22/1998	
	First Named Inventor	Michael Joseph Gardner	
	Group Art Unit	2666	
	Examiner Name	Maikhanh Tran	
Total Number of Pages In This Submission	14	Attorney Docket Number	1170

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Copies of previously mailed documents:</b> <b>Transmittal Letter in Resonse to Notice to File Missing Parts of Application and attachments dated 6/2/00 - 8 pages;</b> <b>Transmittal Form and IDS mailed 9/19/02 - 6 pages</b>
Remarks		It is believed that no fees are due in this matter. However, if it is determined that fees are due, the Commission is authorized to debit Deposit Account 21-0765 for the required fees.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Eugene G. Kim, Registration No. 46,267
Signature	
Date	11/26/2002

CERTIFICATE OF TRANSMISSION			
I hereby certify that this correspondence is being facsimile transmitted to (703) 872-9314 addressed to: Group 2662, Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 11/26/2002			
Typed or printed name	Linda L. Leonard		
Signature		Date	11/26/2002

Barcode Error Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

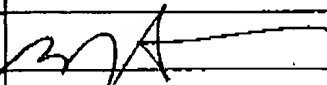
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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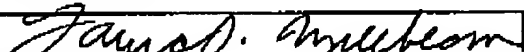
<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/218,817	
	Filing Date	12/22/1998	
	First Named Inventor	Gardner	
	Group Art Unit	2731	
	Examiner Name	unknown	
Total Number of Pages in This Submission	2	Attorney Docket Number	1170

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i> <b>19 US patents and 7 non-patent              literature documents</b>
Remarks  		It is believed that no fees are due in this matter. However, if it is determined that fees are due, the Commissioner is authorized to debit Deposit Account No. 21-0765 for the required fees.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Michael J. Setter, Reg. 37,936
Signature	
Date	9-19-02

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <u>9-19-02</u>			
Typed or printed name	Laura S. Mellblom	Date	9-19-02
Signature		Date	9-19-02

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**Sprint Docket 1170**

I hereby certify that the below listed documents are being deposited with the United States Postal Service as First Class Mail, postage prepaid on June 2, 2000, addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231.

Applicant(s): Gardner  
For: "System And Method For Connecting A Call In A Tandem Architecture"

Enclosed is:

- Notice to File Missing Parts of Application, Filing Date Granted
- Executed Declaration (executed January 8, 1999)
- Executed Assignment (executed January 8, 1999)

273358

Receipt acknowledged by USPTO on the date stamped below:

In Re: Patent Application of: Gardner  
Serial No.: 09/218,817  
Date filed: 12/22/98  
Title: System and Method for Connecting a Call in a Tandem Architecture  
☐ Recordation Form Cover Sheet \_\_\_\_\_ page(s) ☐ Assignment \_\_\_\_\_ page(s)  
Drawings (\_\_\_\_\_ sheets) Number of FIGS: \_\_\_\_\_  
☐ Declaration and POA \_\_\_\_\_ page(s) ☐ Application Data Sheet \_\_\_\_\_ page(s)  
☒ Transmittal 1 page(s)  
☐ Fee Transmittal \_\_\_\_\_ page(s)  
☐ Amendment \_\_\_\_\_ page(s)  
☐ Issue Fee Transmittal \_\_\_\_\_ page(s)  
☐ Request to Rescind NonPublication \_\_\_\_\_ page(s)  
☒ IDS 2 page(s) ☒ 19 cited US ref.; ☐ cited foreign ref.; ☐ cited other ref.  
Payment: ☐ Check No. \_\_\_\_\_ / ☐ Deposit Account / ☐ Credit Card : amount of \$ \_\_\_\_\_  
☐ Credit Card Payment Form (PTO-2038) - 1 page  
Other: \_\_\_\_\_  
Atty: / MJS/ lsm ☒ 1<sup>st</sup> Class Mail ☐ Express Mail Label No. \_\_\_\_\_  
Atty Docket No. 1170 Date Mailed: 9-19-02  
39201.515

Date docketed: 10/10/02  
Docketed by: [Signature]  
See: \_\_\_\_\_  
Atty: \_\_\_\_\_

Faegre &amp; Benson LLP

SEP 30 2002

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NOV-26-02 TUE 12:30 PM

FAX NO.

P. 03

Sprint Docket 1170

9201/15  
CERTIFICATION UNDER 37 C.F.R. 1.8

I hereby certify that this Transmittal Letter in Response to Notice to File Missing Parts of Application and the documents referred to as enclosed therein is being deposited with the United States Postal Service on June 2, 2000 as First Class Mail, postage prepaid, addressed to: Hon. Assistant Commissioner for Patents, Attn: Box Missing Part, Washington, D.C. 20231. #9 12.2g

Debra M. Thompson  
Debra M. Thompson, Sprint Law Dept.

**TRANSMITTAL LETTER IN RESPONSE TO  
NOTICE TO FILE MISSING PARTS OF APPLICATION**

Hon. Assistant Commissioner for Patents  
Attn: Box Missing Part  
Washington, D.C. 20231

Dear Sirs:

Transmitted herewith is the following:

Applicants: Michael Joseph Gardner  
For: "SYSTEM AND METHOD FOR CONNECTING A CALL IN A  
TANDEM ARCHITECTURE"  
Filed: December 12, 1998  
Application No.: 09/218,817

Enclosed is:

- \* Notice To File Missing Parts of Application, Filing Date Granted
- \* Executed Declaration (executed January 8, 1999)
- \* Executed Assignment (executed January 8, 1999)
- \* Postcard

X The Commissioner is hereby authorized to charge the \$130.00 fee for the filing of this paper, and additional any fees under 37 CFR 1.16 and 1.17 which may be required during


**DOCKETED**

Date: 6/5/00  
By: TAN

the entire pendency of the application to Sprint Communications Company L.P., Deposit Account No. 21-0765.

Respectfully submitted,

6/2/02  
Date

By   
Harley R. Ball, Patent Attorney  
Reg. No. 31,733  
Tel: (913) 624-6668  
Fax: (913) 624-6388

SPRINT COMMUNICATIONS COMPANY L.P.  
8140 Ward Parkway  
Fifth Floor  
MS: MOKCMP0506  
Kansas City, Missouri 64114



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/218,817	12/22/98	GARDNER	1170

DATE MAILED:

### NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$\_\_\_\_\_.

☒ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$\_\_\_\_\_, including any multiple dependent claim fees, are required.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unexecuted.  
☐ does not cover the newly submitted items.  
☐ does not identify the application to which it applies.  
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☒ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER: \_\_\_\_\_

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

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Initial Patent Examination Division (703) 308-1202